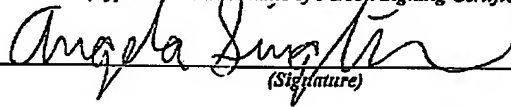


CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. NHS-0010	
Applicant(s): Bauman				
Application No. 10/773,731	Filing Date February 5, 2004	Examiner	Group Art Unit 2643	
Invention: HEARING AID SYSTEM				
<p>I hereby certify that this <u>IDS Transmittal (3 pgs), PTO-1449 (11 pgs); Cited References (51 pgs)</u> <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>)</p> <p>on <u>July 7, 2006</u> <small>(Date)</small></p> <div style="text-align: center; margin-top: 50px;"> <u>Angela Singleton</u> <small>(Typed or Printed Name of Person Signing Certificate)</small>  <small>(Signature)</small> </div> <p style="text-align: center; margin-top: 50px;"> Note: Each paper must have its own certificate of mailing. </p>				

P18/REV02

JUL 07 2006

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
NHS-0010In Re Application Of: **Bauman**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/773,731	February 5, 2004		23413	2643	8615

Title: **HEARING AID SYSTEM**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- 1 ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

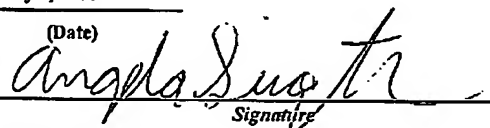
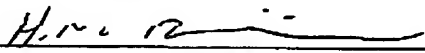
OR

☐ the fee set forth in 37 CFR 1.17(p).

P10A REV05

JUL 07 2006

3/64

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. NHS-0010	
In Re Application of: Bauman						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/773,731	February 5, 2004		23413	2643	8615	
Title: HEARING AID SYSTEM						
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))						
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>06-1130</u> as described below. <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px;"> <p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa571-273-8300)</p> <p>July 7, 2006 (Date)</p> <p style="text-align: center;"> Signature</p> <p>Angela Singleton Typed or Printed Name of Person Signing Certificate</p> </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px;"> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</p> <p style="text-align: center;">(Date)</p> <p style="text-align: center;">_____ Signature of Person Mailing Correspondence</p> <p style="text-align: center;">_____ Typed or Printed Name of Person Mailing Certificate</p> </div> </div> </div>						
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 45%;"> <p style="text-align: center;"> Signature</p> </div> <div style="width: 45%;"> <p>Dated: July 7, 2006</p> </div> </div>						
<p>H.M. Bedingfield Registration No. 44,530 Customer No. 23413 Telephone: (404) 607-9991</p>						
cc:						

P10A/REV05

JUL 07 2006

Docket No. NHS-0010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bauman)
Serial No.: 10/773,731) Group Art Unit: 2643
Filing Date: February 5, 2004) Examiner: NYA
For: HEARING AID SYSTEM)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

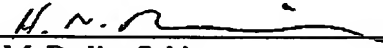
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 
H.M. Bedingfield
Registration No. 44,530

Date: July 7, 2006
Customer No.: 23413
Telephone: (860) 286-2929

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731		
				APPLICANT(S) Bauman				
				FILING DATE February 5, 2004		GROUP ART UNIT 2643		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,727,582	02-23-1988	de Vries et al.	381	68.7	03-15-2985	
		3,123,678	03-03-1964	Prentiss et al.	179	107	12-13-1955	
		3,688,863	09-05-1973	Johnson	181	23	10-08-1971	
		3,890,474	06-17-1975	Glicksberg et al.	179	107 E	12-26-1973	
		4,089,332	05-16-1978	Rose	128	152	08-09-1976	
U.S. PATENT APPLICATION PUBLICATIONS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		2004/0010181 A1	01-2004	Feeley et al.	600	25		
		2004/0047483 A1	03-11-2004	Bauman	381	330	12-18-2002	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		JP 62-15100	07-06-1987	Japan (English Abstract)	H04R	25/02		✓
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Phonak Hearing Systems Media Release UHA 2003, "Phonak know-how address Auditory Processing Disorders", Switzerland, p. 1-2						
		Phonak Hearing Systems; Field Study News; "EduLink: Improves Speech Understanding In Noisy Classrooms"; May 2004, p. 1-2						
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731	
				Bauman			
				FILING February 5, 2004		GROUP ART 2643	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,606,329	08-19-1986	Hough	128	1 R	06-17-1985
		5,381,484	01-10-1995	Claes et al.	381	68.6	10-13-1962
		2,930,856	03-29-1960	Thot	179	107	01-24-1956
		3,581,570	06-01-1971	Wortz	75	355 R	09-05-1967
		3,764,748	10-09-1973	Branch et al.	179	107 E	05-19-1972
U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		Phonak Hearing Systems; EduLink - The Direct Link Between Teacher And Student; p. 1-12					
		Phonak Hearing Systems; The Hearing Journal, July 2004, Vol. 57, No. 7, p. 45					
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

NHS-0010

APPLICATION NO.

10/773,731

Bauman

FILING

February 5, 2004

GROUP ART

2643

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,222,393	09-16-1980	Hocks et al.	128	746	07-28-1978
	4,226,248	10-07-1980	Manoli	128	773	10-26-1978
	4,680,798	07-14-1987	Neumann	381	68.4	07-23-1984
	4,735,968	04-05-1988	Guth	514	561	10-02-1986
	4,759,070	07-19-1988	Voroba et al.	381	60	05-27-1986

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search report For International Application No. PCT/US04/03449 filed February 5, 2004
		International Search Report for International Application No. PCT/US03/39960 filed December 16, 2003

EXAMINER

DATE CONSIDERED

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731		
				Bauman				
				FILING February 5, 2004		GROUP ART 2643		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,830,139	05-16-1989	Cirillo	181	130	08-04-1986	
		4,870,688	09-26-1989	Voroba et al.	381	60	05-27-1986	
		4,954,486	09-04-1990	Guth	514	158	12-20-1989	
		4,956,391	09-11-1990	Sapse	514	810	08-17-1988	
		4,984,579	01-15-1991	Burgert et al.	128	747	07-21-1989	
U.S. PATENT APPLICATION PUBLICATIONS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
			Hear-Wear Technologies, LLC <i>fl/wa</i> Hear-Wear, LLC v. Dr. Natan Bauman, Nova Hearing Systems, LLC; and Hearing, Balance and Speech Center, Inc.; Compliant and Request for Injunctive Relief; Summonses, Civil Cover Sheet; Appearance of L. Page Heslin for Plaintiff; Order on Pretrial Deadlines; Notice of Dismissal Without Prejudice; In the United States District Court for the District of Connecticut; Civil Action No. 303cv01599 AWT					
EXAMINER				DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731		
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U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,008,058	04-16-1991	Heeneberger et al.	264	134	01-19-1989	
		5,024,612	06-18-1991	van den Hinert et al.	604	36	05-30-1989	
		5,031,219	07-09-1991	Ward et al.	381	6806	09-15-1988	
		5,064,858	11-12-1991	Sapse	514	536	09-05-1990	
		5,167,236	12-01-1992	Junker	128	746	12-15-1989	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,279,292	01-18-1994	Baumann et al.	607	137	02-13-1992	
		5,325,782	07-05-1994	Struss et al.	102	285	07-20-1993	
		5,403,262	04-04-1995	Gooch	600	28	03-09-1993	
		5,430,801	07-04-1995	Hill	381	69	02-22-1994	
		5,563,140	10-08-1996	Ehrenberger et al.	514	249	11-16-1992	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				

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				Bauman				
				FILING February 5, 2004		GROUP ART 2643		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,589,183	12-31-1996	Jannetta	424	423	10-05-1995	
		5,606,621	02-25-1997	Reiter et al.	381	68.6	06-14-1995	
		5,328,330	05-13-1997	Upham	128	864	03-15-1995	
		5,979,589	11-09-1999	Aceti	181	135	05-02-1997	
		5,987,146	11-16-1999	Pluvillage et al.	381	328	04-03-1997	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

 ATTY DOCKET NO.
 NHS-0010

 APPLICATION NO.
 10/773,731

Bauman

FILING

February 5, 2004

GROUP ART

2643

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,048,305	04-11-2000	Bauman et al.	600	25	08-07-1998
	6,253,871 B1	07-03-2001	Aceti	181	135	03-08-1999
	6,275,596 R1	08-14-2001	Frotz et al.	381	321	01-10-1997
	6,320,969 B1	11-20-2001	Killion	381	232	10-03-1993
	6,359,993 B2	03-19-2002	Brimhall	381	328	01-15-1999

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER

DATE CONSIDERED

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731		
				Bauman				
				FILING February 5, 2004		GROUP ART 2643		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6,453,051 B1	09-17-2002	Killion	381	315	10-10-2000	
		6,473,513 B1	10-29-2002	Shennib et al.	381	328	06-08-1999	
		6,493,453 B1	12-10-2002	Glendon	381	322	02-25-1999	
		6,724,902 B1	04-20-2004	Shennib et al.	381	328	04-29-1999	
		6,181,801 B1	01-30-2001	Puthuff et al.	381	380	04-03-1997	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731		
				Bauman				
				FILING February 5, 2004		GROUP ART 2643		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,572,594	11-05-1996	Devoe et al.	381	68.6	09-27-1994	
		5,201,007	04-06-1993	Ward et al.	381	68.6	09-14-1989	
		6,021,207	02-01-2000	Puthuff et al.	381	330	04-03-1997	
		6,160,895	12-12-2000	Dupont	381	330	03-25-1998	
		5,987,146	11-1999	Pluvinae et al.	381	328		
U.S. PATENT APPLICATION PUBLICATIONS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. NHS-0010		APPLICATION NO. 10/773,731		
				APPLICANT(S) Bauman				
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U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,572,594	11-1996	Devoc et al.	381	328		
		5,920,636	07-1999	Oliveira et al.	381	328		
		5,721,783	02-1998	Anderson	381	328		
		6,039,685	03-2000	Bushek	600	25		
		4,539,440	09-1985	Sciarra	381	329		
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				

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